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Attorney Docket No. 254-094-CIP-5 AK Matter No.: 146859



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Low Profile Chip Scale Stacking System and Method

Application No.:

10/631,886

Filing Date:

July 11, 2003

Inventor:

James W. Cady, et al.

Assignee:

Staktek Group L.P.

Examiner:

**TBA** 

Art Unit:

2841

### INFORMATION DISCLOSURE STATEMENT

Mail Stop - Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto are Forms PTO/SB/08A and PTO/SB/08B (12 sheets) with references listed for consideration by the Office.

Pursuant to Official Gazette Notice No. 14, dated August 5, 2003, copies of the listed written U.S. references are not enclosed. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of the listed written foreign references and publications are being provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b) or prior art under 35 U.S.C. §§ 102 or 103.

The examiner should also be aware of the following co-pending applications:

App. Ser. No. 10/136,890 filed 5/02/02

App. Ser. No. 10/400,309 filed 3/27/03

### Attorney Docket No. 254-094-CIP-5 AK Matter No.: 146859

App. Ser. No. 10/435,192 filed 5/09/03

App. Ser. No. 10/453,398 filed 6/03/03

App. Ser. No. 10/457,608 filed 6/09/03

App. Ser. No. 10/624,097 filed 7/21/03

App. Ser. No. 10/709,732 filed 5/25/04

App. Ser. No. 10/804,452 filed 3/19/04

App. Ser. No. 10/814,530 filed 3/31/04

App. Ser. No. 10/814,532 filed 3/31/04

App. Ser. No. 10/828,495 filed 4/20/04

App. Ser. No. 10/836,855 filed 4/30/04

App. Ser. No. 10/845,029 filed 5/13/04

App. Ser. No. 10/872,897 filed 6/21/04

App. Ser. No. 10/873,847 filed 6/22/04

App. Ser. No. 10/913,220 filed 8/06/04

App. Ser. No. 10/914,483 filed 8/09/04

App. Ser. No. 10/917,216 filed 8/12/04

App. Ser. No. 10/931,828 filed 9/01/04

App. Ser. No. 10/940,074 filed 9/14/04

App. Ser. No. 10/956,442 filed 10/01/04

App. Ser. No. 10/958,584 filed 10/05/04

App. Ser. No. 10/958,924 filed 10/05/04

App. Ser. No. 10/958,934 filed 10/05/04

App. Ser. No. 10/963,867 filed 10/12/04

App. Ser. No. 10/978,149 filed 10/29/04

App. Ser. No. 11/003,168 filed 12/03/04

Attorney Docket No. 254-094-CIP-5 AK Matter No.: 146859

App. Ser. No. 11/011,469 filed 12/14/04

App. Ser. No. 11/015,521 filed 12/17/04

App. Ser. No. 11/039,615 filed 1/20/05

App. Ser. No. 11/040,564 filed 1/21/05

App. Ser. No. 11/051,815 filed 2/04/05

App. Ser. No. 11/074,026 filed 3/04/05

App. Ser. No. 11/116,981 filed 4/28/05

App. Ser. No. 11/131,812 filed 5/18/05

App. Ser. No. 11/173,445 filed 7/01/05

App. Ser. No. 11/175,562 filed 7/05/05

This Information Disclosure Statement is being filed before receipt of the first Office Action, so no fee is believed to be due. The Commissioner is hereby authorized to charge any other fees which may be required in connection with the filing, or to credit any overpayment to Deposit Account No. 50-3534, upon which account the undersigned has the authority to sign. A duplicate copy of Forms PTO/SB/08A and PTO/SB/08B are enclosed.

Respectfully submitted,

ANDREWS KURTH LLP

Date

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for to 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 8

Con	nplete if Known
Application Number	10/631,886
Filing Date	July 11, 2003
First Named Inventor	James W. Cady, et al.
Art Unit	2841
Examiner Name	TBA
Attorney Docket Number	254-094-CIP5/AK 146859

			U. S. PATEI	NT DOCUMENTS	1988 1984 1984 1984 1984 1984 1984 1984
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*		Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-6,919,626 B2	7/19/2005	Burns	
		US-6,914,324 B2	7/5/2005	Rapport, et al.	
		US-6,908,792 B2	6/21/2005	Bruce, et al.	
		US-6,884,653	4/26/2005	Larson	
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		US-6,552,910 B1	4/22/2003	Moon, et al.	
·		US-6,528,870 B2	3/4/2003	Fukatsu, et al.	
		US-6,509,639	1/21/2003	Lin	
		US-6,489,687 B1	12/3/2002	Hashimoto	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Te
Initials* No	No.1	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 8

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			U.S. PATE	NT DOCUMENTS	AND THE PROPERTY OF THE
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Initials*	140.	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-6,376,769 B1	4/23/2002	Chung	
		US-6,329,708	12/11/2001	Komiyama	
		US-6,316,825	11/13/2001	Park, et al.	
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		US-5,841,721	11/24/1998	Kwon, et al.	

		FOREIG	N PATENT DOCU	MENTS		Service of
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	<b>⊤</b> 6
Initials*	No.1	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	,
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254-094-CIP5/AK 146859

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/631,886 Filing Date July 11, 2003 INFORMATION DISCLOSURE First Named Inventor James W. Cady, et al. STATEMENT BY APPLICANT Art Unit 2841 (Use as many sheets as necessary) **Examiner Name TBA** 

**Attorney Docket Number** 

	33.4		U.S. PATE	NT DOCUMENTS	35 Ye 16 (1)
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*		Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-5,804,870	9/8/1998	Burns	
		US-5,801,439	9/1/1998	Fujisawa, et al.	
		US-5,789,815	8/4/1998	Tessier, et al.	
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		US-5,764,497	6/9/1998	Mizumo, et al.	
		US-5,763,296	6/9/1998	Casati, et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	7
Initials* No.1	No. <sup>1</sup>	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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		1. 1465	U. S. PATE	NT DOCUMENTS	10. <b>四支集98.</b> (1)
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	110.	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-5,252,855	10/12/1993	Ogawa, et al.	
		US-5,229,916	7/20/1993	Frankeny, et al.	
		US-5,214,307	5/25/1993	Davis	
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	<u></u>	US-5,057,903	10/15/1991	Olla	
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•••		US-4,712,129	12/8/1987	Orcutt	
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		US-2004/0115866 A1	6/17/2004	Bang, et al.	
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	Pages, Columns, Lines,	Name of Patentee or	Publication Date	Foreign Patent Document	Cite	Examiner	
	Where Relevant Passages or Relevant Figures Appear	Applicant of Cited Document	MM-DD-YYYY	MM-DD-YYYY	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	No. <sup>1</sup>	Initials*
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Examiner Signature	Date Considered	

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U. S. PATENT DOCUMENTS								
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Initials*		Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
		US-2003/0081392	5/1/2003	Cady, et al.				
		US-2003/0045025	3/6/2003	Coyle, et ai.				
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		US-2001/0015487	8/23/2001	Forthun				
		US-2001/0006252 A1	7/5/2001	Kim, et al.				
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	_	US-6,657,134	12/2/2003	Spielberger, et al.				
		US-6,627,984 B2	9/30/2003	Bruce, et al.				

		FOREIG	N PATENT DOCUM	MENTS		
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			U. S. PATE	NT DOCUMENTS	Tag. 11 1	
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
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**Examiner Name** 

Attorney Docket Number

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		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	

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				First Named Inventor	James W. Cady, et al.		
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10/631.886

July 11, 2003

James W. Cady, et al.

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Application Number

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 254-094-CIP5 / AK 146859

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	No.¹	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵
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				First Named Inventor	James W. Cady, et al.		
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Sheet 1 of 1

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Application Number	10/631,886			
Filing Date	July 11, 2003			
First Named Inventor	James W. Cady, et al.			
Art Unit	2841			
Examiner Name	TBA			
Attorney Docket Number	254-094-CIP5 / AK 146859			

Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	
_	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.